



03-14-05 AF

3713 # 1100

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

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TRANSMITTAL FORM		Application Number		09/462,717	
(to be used for all correspondence after initial filing)		Filing Date		April 10, 2000	
		First Named Inventor		Scott Olive	
		Group Art Unit		3713	
		Examiner Name		Kim T. Nguyen	
		Attorney Docket Number		15737US01	
Total Number of Pages in This Submission		5+copies			
ENCLOSURES (check all that apply)					
<input checked="" type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)		<input type="checkbox"/> After Allowance Communication to Group	
<input checked="" type="checkbox"/> Fee Attached		<input type="checkbox"/> Drawing(s) (sheets)		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment/Reply		<input type="checkbox"/> Licensing-related Papers		<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final		<input type="checkbox"/> Petition		<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)		<input type="checkbox"/> Petition to Convert to a Provisional Application		<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address		<input type="checkbox"/> Return-Receipt Postcard	
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> Terminal Disclaimer		<input type="checkbox"/> Other Enclosure(s) (please identify below):	
<input checked="" type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Request for Refund			
<input checked="" type="checkbox"/> PTO 1449/08A with 23 references		<input type="checkbox"/> CD Number of CD(s) _____			
<input type="checkbox"/> Certified Copy of Priority Document(s)					
<input type="checkbox"/> Response to Missing Parts/Incomplete Application					
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53					
Remarks					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual Name		McAndrews Held & Malloy, Ltd.			
Signature					
Date		March 11, 2005			
CERTIFICATE OF EXPRESS MAIL TRANSMITTAL					
I hereby certify that this correspondence is being sent via U.S. Express Mail Post Office to Addressee to the United States Patent and Trademark Office on March 11, 2005 with Express Mail No. EL 849007235 US.					
Name (Print/type)		Christopher N. George		Registration No. (Attorney/Agent)	
Signature				Date	
				March 11, 2005	



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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number	09/462,717
Filing Date	April 10, 2000
First Named Inventor	Scott Olive
Examiner Name	Kim T. Nguyen
Art Unit	3713
Attorney Docket No.	15737US01

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit
Account
Number

13-0017

Deposit
Account
Name

McAndrews Held & Malloy

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
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to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	0
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20 **	0	0
3	-3 **	0	0
0			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Christopher N. George	Registration No. (Attorney/Agent)	51,728	Telephone	312-775-8000
Signature		Date	March 11, 2005		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE
Attorney Docket No. 15737US01

In the Application of:

SCOTT OLIVE

Serial No.: 09/462,717

Filed: April 10, 2000

For: SLOT MACHINE GAME AND
SYSTEM WITH IMPROVED
JACKPOT FEATURE

Examiner: Kim Nguyen

Group Art Unit No.: 3713

EL 849007235 US
Express Mail Label No.

March 11, 2004
Date

**TRANSMITTAL OF SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
AFTER APPLICATION FILING DATE**

MAIL STOP AMENDMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner Nguyen:

Enclosed are the following:

A completed PTO Form 1449 which has two pages.

A copy of each printed or patented reference listed in the enclosed Form 1449 and not
previously provided to or by the PTO in this application. Six (6) references are enclosed.

A check in the amount of \$180 is enclosed for the submission of this Information

Disclosure Statement After Application Filing Date.

03/16/2005 EABUBAK1 00000049 09462717

01 FC:1806

180.00 0P

REMARKS

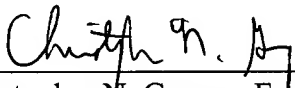
This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

These references were received as a result of related foreign prosecution and are being submitted for the Examiner's review in the present application. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

March 11, 2005
Date



Christopher N. George, Esq.
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Attorney for Applicant

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DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)				Application Number	09/462,717
				Filing Date	April 10, 2000
				First Named Inventor	Olive
				Group Art Unit	3713
				Examiner Name	Kim Nguyen
Sheet 1		Of 2		Attorney Docket Number	15737US01

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	5655961		Acres et al.	08-12-1997
	2	5570885		Ornstein	11-05-1996
	3	5259616		Bergmann	11-09-1993
	4	5249800		Hilgendorf et al.	10-05-1993
	5	5048833		Lamle	09-17-1991
	6	4573681		Okada	03-04-1986
	7	4238127		Lucero et al.	12-09-1980
	8	3998309		Mandas et al.	12-21-1976
	9	5941773		Harlick	08-24-1999
	10	6089977		Bennett	07-18-2000
	11	5580309		Piechowiak et al.	12-03-1996
	12	5547192		Ishibashi	08-20-1996
	13	5401024		Simunek	03-28-1995
	14	5393057		Marnell	02-28-1995
	15	5342049		Wichinsky et al.	08-30-1994

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Office ³	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
			Number ⁴	Kind Code ⁵ (if known)			
	16		AUB589158		Frankovic et al.	10-05-1989	
	17		AUB524709		Hickey	09-30-1982	
	18		AUB748263		Acres	11-12-1998	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.	T ²
	A		
Examiner Signature			Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
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				Filing Date	April 10, 2000
				First Named Inventor	Olive
				Group Art Unit	3713
				Examiner Name	Kim Nguyen
Sheet 2		Of 2		Attorney Docket Number	15737US01

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	19	4871171		Rivero	10-03-1989
	20	5423539		Nagao	06-13-1995

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Office ³	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
			Number ⁴	Kind Code ⁵ (if known)			
	21		AUB722107		Green	05-22-1998	
	22		AUB716299		Gauselmann	01-08-1998	
	23		GB2153572		Edward	08-21-1985	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.	T ²
	A		
Examiner Signature		Date Considered	

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